

# Energy Choice Consulting

199 New Road  
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Linwood, NJ 08221  
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T: 609.365.7072  
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DM13-035

**ECC**



A NEMLC Company

January 18, 2013

Debra A. Howland  
Executive Director  
New Hampshire Public Utilities Commission  
21 S. Fruit Street  
Suite 10  
Concord, NH 03301-2429



**Re: *In The Matter of The Application of PJM Wholesale Brokers, LLC for Certification to Provide Electric Aggregation Services to the Public Under New Hampshire Code of Administrative Rules 2003.02, 2006.02***

Dear Ms. Howland,

Our firm, Energy Choice Consulting, has been retained by the above captioned applicant to assist them with regulatory and compliance filings in various jurisdictions.

Pursuant to Puc 2003.02 and 2006.02, respectively, enclosed hereto please find the following:

1. Application of PJM Wholesale Brokers, LLC in accordance with the form provided under Puc 2006.02
2. Application fee of \$250 (TWO HUNDRED AND FIFTY DOLLARS)

Should Commission Staff require any additional information, documentation, or clarification, please do not hesitate to contact this office directly at your convenience.

Respectfully Submitted,

  
Sean P. Morrissey

*o/b/o PJM Wholesale Brokers, LLC*

199 New Road  
Suite 61-187  
Linwood, NJ 08221  
T: 609.365.7072

# **PJM WHOLESALE BROKERS, LLC**

*Electric Aggregation Application*

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*Filed by and Through Energy Choice Consulting*

## **ABSTRACT**

*The following Electric Aggregation application herein is respectfully submitted pursuant to New Hampshire Administrative Code [Puc 2006.02 & RSA 374-F et seq.]*

## BEFORE THE NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION

<b>Application of PJM Wholesale Brokers, LLC for certification as an electric Aggregator in accordance with Puc 2003.02, 2006.02</b>	) ) ) )	<b>Docket No.</b>
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*(1) The legal name of the applicant as well as any trade name(s) under which it intends to operate in New Hampshire.*

PJM Wholesale Brokers, LLC

NEISO Power Brokers

*(2) The applicant's business address, telephone number, email address and website address, as applicable.*

18 Abbott Road  
Hamilton, NJ 08690  
T: 732-766-3446  
E: michael.lento@pjmwholesalebrokers.com  
W: [www.pjmwholesalebrokers.com](http://www.pjmwholesalebrokers.com)

*(3) The names(s), title(s), business address(es), telephone number(s), and email address(es) of the applicant if an individual or of the applicant's principal(s), if the applicant is anything other than an individual.*

PJM Wholesale Brokers, LLC is a single member Limited Liability Company. At the time of this filing, there are no other principals or members to disclose.

Michael Lento  
Principal Member  
PJM Wholesale Brokers, LLC  
18 Abbott Road  
Hamilton, NJ 08690  
T: 732-766-3446  
E: michael.lento@pjmwholesalebrokers.com  
W: [www.pjmwholesalebrokers.com](http://www.pjmwholesalebrokers.com)

*(4) The telephone number of the customer service department or the name, title, telephone number and email address of the customer service contact person of the applicant, including toll-free numbers if available.*

Michael Lento  
Principal Member  
T: 732-766-3446  
[michael.lento@pjmwholesalebrokers.com](mailto:michael.lento@pjmwholesalebrokers.com)  
Toll Free: 855-756-7283

*(5) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state.*

Please see the attached Schedule A

*(6) Description of the geographic areas of New Hampshire in which the applicant intends to provide service, consistent with Puc 2006.01(a)(10).*

Applicant intends to provide service in the following utility service territories:

- a) Granite State Electric Company
- b) New England Power Company
- c) NH Electric Cooperative Inc.
- d) Public Service Company of New Hampshire
- e) Until Energy Systems, Inc.
- f) Until Power Corporation

*(7) A statement that the applicant is not representing any supplier interest or a listing of any supplier interest the applicant intends to represent.*

Applicant hereby states that it is not representing any supplier interest.

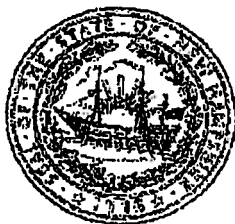
*(8) Except as provided in 2003.04(e), payment of the required filing fee*

Applicant fee of \$250 enclosed herein.

*(9) The signature of the applicant or its representative.*

Applicant, labeled Schedule B, contains a statement affirming the accuracy and completeness of the information herein provided.

**Schedule A**



# State of New Hampshire

## Department of State

Corporation Division  
107 North Main Street  
Concord, N.H. 03301-4989  
603-271-3244



Enclosed is your certificate of registered trade name. You should be sure to complete any other registrations required such as with the Department of Revenue Administration or with special licensing agencies such as the State Liquor Commission and Real Estate Commission.

Approximately six (6) months prior to the expiration date, we will mail to the address on record a form for you to renew your trade name registration. It is incumbent upon you to keep us informed of address changes to ensure the renewal form reaches you. There is no charge for address changes.

If you cease doing business under this trade name you are required by law to discontinue the use of its name by filing a Trade Name Discontinuance Form, TN-9. We also have forms to file should you wish to add a partner to your business (form TN-5) or withdraw a partner who is already one of the registrants (form TN-7). All of these forms may be downloaded from our web site at [www.sos.nh.gov/corporate/](http://www.sos.nh.gov/corporate/) or you may request them from this office.

Feel free to contact the Corporation Division if you need any further information.

Regards,

William M. Gardner  
Secretary of State

Business ID#: 685030

Visit us on the Web -- [www.sos.nh.gov/corporate/](http://www.sos.nh.gov/corporate/)

# State of New Hampshire Department of State

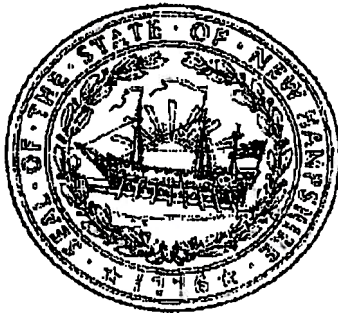
## CERTIFICATE OF REGISTERED TRADE NAME OF NEISO Power Brokers

This is to certify that PJM Wholesale Brokers, LLC registered in this office as doing business under the Trade Name NEISO Power Brokers, at 18 Abbott Road Hamilton, NJ 08690 on January 11, 2013.

The nature of business is energy broker.

Expiration Date: January 11, 2018

Business ID#: 685030



IN TESTIMONY WHEREOF, I hereto  
set my hand and cause to be affixed  
the Seal of the State of New Hampshire,  
this 11th day of January, 2013 A.D.

A handwritten signature in cursive script, appearing to read "William M. Gardner".

William M. Gardner  
Secretary of State

# State of New Hampshire

Filed  
Date Filed: 01/11/2013  
Business ID: 685030  
William M. Gardner  
Secretary of State

Filing fee: \$50.00  
Use black print or type.

Form TN-1  
RSA 349

## APPLICATION FOR REGISTRATION OF TRADE NAME

**(PLEASE TYPE OR PRINT CLEARLY)**

- BUSINESS NAME:** NEISO Power Brokers  
(Name cannot include "INC." or other corporate designation)
- BUSINESS ADDRESS:** 18 Abbott Road, Hamilton, NJ 08690  
No. & Street City / town State Zip
- MAILING ADDRESS (if different):** \_\_\_\_\_  
No. & Street City / town State Zip
- BRIEF DESCRIPTION OF KIND OF BUSINESS TO BE CARRIED ON:** energy broker
- DATE BUSINESS ORGANIZED:** 11/15/2012  
(month / day / year)

**5-A. ENTITY APPLICANT:** IF THE APPLICANT IS A CORPORATION OR OTHER ENTITY, LIST CORPORATION'S OR ENTITY'S EXACT NAME AND INCLUDE TITLE OF PERSON SIGNING. If more space is needed for additional entity applicants, please attach additional sheet(s).

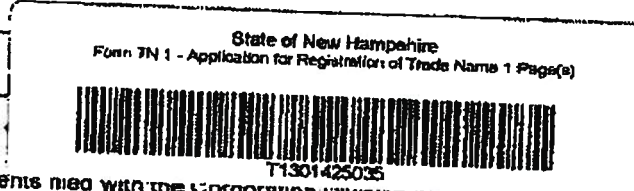
PJM Wholesale Brokers, LLC 18 Abbot Road  
ENTITY NAME (TYPE OR PRINT) NO. STREET

[Signature] Hamilton, NJ 08690  
AUTHORIZED SIGNATURE TOWN/CITY STATE ZIP

Michael Lento, Principal  
SIGNER'S NAME AND TITLE (TYPE OR PRINT)

**5-B. INDIVIDUAL APPLICANTS:** PLEASE TYPE OR PRINT APPLICANTS' NAME(S), ADDRESS(ES) AND INCLUDE SIGNATURE. If more space is needed for additional individual applicants, please attach additional sheet(s).

- 1.** \_\_\_\_\_  
TYPE OR PRINT NAME NO. STREET
  - 2.** \_\_\_\_\_  
TYPE OR PRINT NAME NO. STREET
  - 3.** \_\_\_\_\_  
TYPE OR PRINT NAME NO. STREET
- \_\_\_\_\_  
SIGNATURE TOWN/CITY STATE ZIP
- \_\_\_\_\_  
SIGNATURE TOWN/CITY STATE ZIP
- \_\_\_\_\_  
SIGNATURE TOWN/CITY STATE ZIP



**DISCLAIMER:** All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fee and **DATED AND SIGNED ORIGINAL** to: Corporation Division, Department of State, 107 North Main Street, Concord, NH 03301-4989. Physical location: 25 Capitol Street, Concord, NH 03301.

Form TN-1 Pg 1 (05/2012)





# State of New Hampshire

## Department of State Corporation Division

603-271-3246



Enclosed is the acknowledgment copy of your Application for Registration as a Foreign Limited Liability Company. It acknowledges this office's receipt and filing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at [corporate@sos.state.nh.us](mailto:corporate@sos.state.nh.us). Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy of Application for Registration as a Foreign Limited Liability Company.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State  
Corporation Division

Business ID#: 685029

Mailing address - 107 North Main Street, State House room 204, Concord, N.H. 03301-4989  
Physical Location - 25 Capitol Street, State House Annex - 3<sup>rd</sup> Floor, Concord NH  
Forms on Web - [www.nh.gov/sos/corporate](http://www.nh.gov/sos/corporate)

d . 5 T

6095869404

FAX DIRECT LASER HP

12:30PM

01/18/13

# State of New Hampshire

Filed  
 Date Filed: 01/11/2013  
 Business ID: 880029  
 William M. Gardner  
 Secretary of State

Filing fee: \$ 50.00  
 Fee for Form SRA: \$ 50.00  
 Total fees: \$100.00  
 Use black print or type.

Form FLLC-1  
 RSA 304-C:12

## APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is PJM Wholesale Brokers, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is \_\_\_\_\_

THIRD: It is formed under the laws of Delaware

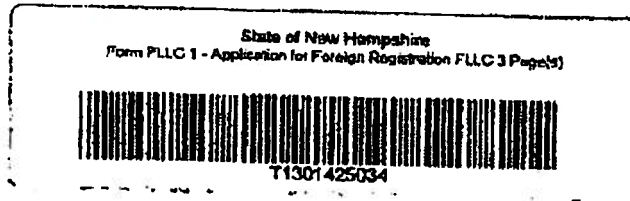
FOURTH: The date of its formation is November 15, 2012

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is any lawful purpose ELECTRICITY AND NATURAL GAS BROKER

SIXTH: The name of its registered agent in New Hampshire is National Registered Agents, Inc.

and the street address, town/city (including zip code and post office box, if any) of its registered office is (agent's business address in New Hampshire) 63 Pleasant Street, Concord, NH 03301

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).



APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1 (Cont.)

\*Signature:   
 Print or type name: Michael Lento  
 Title: Principal Member  
 Date signed: November 26, 2012

Complete address of person signing: 18 Abbot Road  
Hamilton, NJ 08690

To receive your ANNUAL REPORT REMINDER NOTICE by email, please enter your email address here:  
Michael.Lento@pjmwholesalebrokers.com

\* Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mali fees. DATED AND SIGNED ORIGINAL AND FORM SRA to: Corporation Division, Department of State, 107 North Main Street, Concord NH 03301-4888. Physical location: 25 Capital Street, 3<sup>rd</sup> Floor, Concord, NH 03301.

Form SRA - Addendum to Business Organization and Registration Forms  
Statement of Compliance with New Hampshire Securities Laws

Part I - Business Identification and Contact Information

Business Name: PJM Wholesale Brokers, LLC

Business Address (include city, state, zip): 18 Abbott Rd, Hamilton, NJ 08690

Telephone Number: (732) 766-3446 E-mail: michael.lento@pjmwholesalebrokers.com

Contact Person: Michael Lento

Contact Person Address (if different): same as above

Part II - Check ONE of the following items in Part II. If more than one item is checked, the form will be rejected. [PLEASE NOTE: Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. However, you must insure that your business meets all of the requirements spelled out in A), B), and C):

- 1.  Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets ALL of the following three requirements:
  - A) This business has 10 or fewer owners; and
  - B) Advertising relating to the sale of ownership interests has not been circulated; and
  - C) Sales of ownership interests - if any - will be completed within 60 days of the formation of this business.
- 2.  This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed - \_\_\_\_\_
- 3.  This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation - \_\_\_\_\_
- 4.  This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

Part III - Check ONE of the following items in Part III:

- 1.  This business *is not being* formed in New Hampshire.
- 2.  This business *is being* formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.

Part IV - Certification of Accuracy

(NOTE: The information in Part IV must be certified by: 1) all of the incorporators of a corporation to be formed; or 2) an executive officer of an existing corporation; or 3) all of the general partners or intended general partners of a limited partnership; or 4) one or more authorized members or managers of a limited liability company; or 5) one or more authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)

I (We) certify that the information provided in this form is true and complete. (Original signatures only)

Name (print): Michael Lento Signature: [Signature]  
 Date signed: November 26, 2012

Name (print): \_\_\_\_\_ Signature: \_\_\_\_\_  
 Date signed: \_\_\_\_\_

Name (print): \_\_\_\_\_ Signature: \_\_\_\_\_  
 Date signed: \_\_\_\_\_

**Schedule B**

VERIFICATION

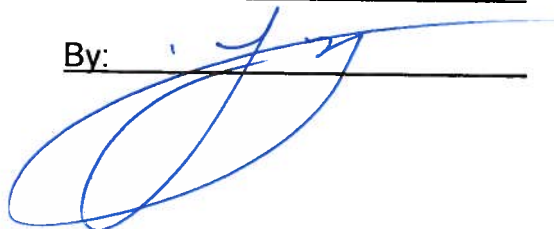
STATE OF:           New Jersey

COUNTY OF:         Mercer

Michael Lento, being first duly sworn, deposes and says that he is the Principal Member of PJM Wholesale Brokers, LLC; that he has read the foregoing Registration Application of PJM Wholesale Brokers, LLC, and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of his knowledge, information and belief.

Michael Lento, Principal

By: \_\_\_\_\_



To be completed by a Notary Public

Subscribed and sworn to before me

this 16 day of January, 2012

Jasmi Rosario  
Notary Public

[Stamp of Notary]

